

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

C005

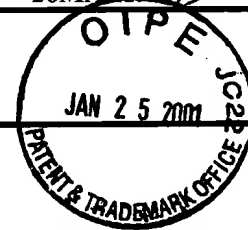
U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/744772

INTERNATIONAL APPLICATION NO.  
PCT/GB/00/02011 ✓INTERNATIONAL FILING DATE  
25MAY2000 ✓PRIORITY DATE CLAIMED  
26MAY1999 ✓

## TITLE OF INVENTION

THE COPY PROTECTION OF DIGITAL AUDIO COMPACT DISCS ✓

APPLICANT(S) FOR DO/EO/US  
EDWARDS, ROGER ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

|  |   |   |
|--|---|---|
| U.S. APPLICATION NO. <b>09/744,172</b> | INTERNATIONAL APPLICATION NO.<br><b>PCT/GB/00/02011</b> | ATTORNEY'S DOCKET NUMBER<br><b>C005</b> |
|--|---|---|

|   |              |              |           |                                  |    |
|---|--------------|--------------|-----------|----------------------------------|----|
| 21. The following fees are submitted:<br><b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b><br><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1,000.00</b><br><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b><br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b><br><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b><br><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b><br><div style="text-align: right; margin-top: 5px;"> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> </div> |              |              |           | <b>CALCULATIONS PTO USE ONLY</b> |    |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).   |              |              |           | <b>\$0.00</b>                    |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE      |                                  |    |
| Total claims  | 25 - 20 =    | 5            | x \$18.00 | <b>\$90.00</b>                   |    |
| Independent claims  | 4 - 3 =      | 1            | x \$80.00 | <b>\$80.00</b>                   |    |
| Multiple Dependent Claims (check if applicable). <input type="checkbox"/>   |              |              |           | <b>\$0.00</b>                    |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |              |           | <b>\$1,030.00</b>                |    |
| Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>  |              |              |           | <b>\$0.00</b>                    |    |
| <b>SUBTOTAL =</b>   |              |              |           | <b>\$1,030.00</b>                |    |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).  |              |              |           | <b>\$0.00</b>                    |    |
| <b>TOTAL NATIONAL FEE =</b>   |              |              |           | <b>\$1,030.00</b>                |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>  |              |              |           | <b>\$0.00</b>                    |    |
| <b>TOTAL FEES ENCLOSED =</b>  |              |              |           | <b>\$1,030.00</b>                |    |
|   |              |              |           | Amount to be: refunded           | \$ |
|   |              |              |           | charged                          | \$ |

☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.


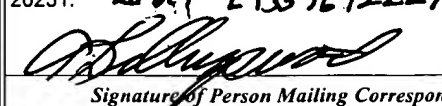
☒ Please charge my Deposit Account No. **13-0762** in the amount of \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.


☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **13-0762** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

|  |   |
|--|---|
| <b>FRANK NGUYEN</b><br><b>MACROVISION CORPORATION</b><br><b>1341 ORLEANS DRIVE</b><br><b>SUNNYVALE, CA 94089</b> | <div style="text-align: right;"> <br/>           SIGNATURE         </div> <div style="text-align: right;"> <b>FRANK NGUYEN</b><br/>           NAME         </div> <div style="text-align: right;"> <b>39790</b><br/>           REGISTRATION NUMBER         </div> <div style="text-align: right;"> <b>01/24/01</b><br/>           DATE         </div> |
|--|---|

|   |                                     |                             |                                |                 |                   |
|---|-------------------------------------|-----------------------------|--------------------------------|-----------------|-------------------|
| <b>AMENDMENT TRAIL LETTER (Large Entity)</b>  |                                     |                             |                                | Docket No. C005 |                   |
| Applicant(s): EDWARDS, ROGER  |                                     |                             |                                |                 |                   |
| Serial No.<br><b>09/744772</b>  | Filing Date                         | Examiner                    | Group Art Unit                 |                 |                   |
| Invention:<br><b>THE COPY PROTECTION OF DIGITAL AUDIO COMPACT DISCS</b>   |                                     |                             |                                |                 |                   |
| <u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>   |                                     |                             |                                |                 |                   |
| Transmitted herewith is an amendment in the above-identified application.<br>The fee has been calculated and is transmitted as shown below.   |                                     |                             |                                |                 |                   |
| <b>CLAIMS AS AMENDED</b>  |                                     |                             |                                |                 |                   |
|   | CLAIMS REMAINING<br>AFTER AMENDMENT | HIGHEST #<br>PREV. PAID FOR | NUMBER EXTRA<br>CLAIMS PRESENT | RATE            | ADDITIONAL<br>FEE |
| TOTAL CLAIMS  | 21 -                                | 25 =                        | 0 x                            | \$18.00         | \$0.00            |
| INDEP. CLAIMS   | 4 -                                 | 4 =                         | 0 x                            | \$80.00         | \$0.00            |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/>  |                                     |                             |                                |                 | \$0.00            |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>  |                                     |                             |                                |                 | <b>\$0.00</b>     |
| <div style="display: flex; flex-direction: column;"> <div><input checked="" type="checkbox"/> No additional fee is required for amendment.</div> <div><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____<br/>A duplicate copy of this sheet is enclosed.</div> <div><input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.</div> <div><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-0762<br/>A duplicate copy of this sheet is enclosed.</div> <div><input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.</div> <div><input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.</div> </div> |                                     |                             |                                |                 |                   |
| <br>_____<br>Signature   |                                     |                             | Dated: 01/24/01                |                 |                   |
| <div style="display: flex; justify-content: space-between;"> <div> <b>FRANK NGUYEN</b><br/> <b>REG #39790</b> </div> <div style="border: 1px solid black; padding: 5px; width: 300px;"> <p>I certify that this document and fee is being deposited on <u>1/25/01</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. <u>Label E1337672229 US</u></p> <p style="text-align: center;"><br/>Signature of Person Mailing Correspondence</p> <p style="text-align: center;"><u>A. K. Hingsworth</u><br/>Typed or Printed Name of Person Mailing Correspondence</p> </div> </div>  |                                     |                             |                                |                 |                   |
| CC:   |                                     |                             |                                |                 |                   |

|  |             |          |                    |
|--|-------------|----------|--------------------|
| <b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>  |             |          | Docket No.<br>C005 |
| Applicant(s): EDWARDS  |             |          |                    |
| Serial No.<br><b>09/744772</b>   | Filing Date | Examiner | Group Art Unit     |
| Invention: <b>THE COPY PROTECTION OF DIGITAL AUDIO COMPACT DISCS</b>   |             |          |                    |
| <p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 5px; margin: 10px 0;"><b>TRAN. LETTER TO USPTO DESIGNATED OFFICE, PCT SPECIFICATION, SIGNED DECLARATION &amp; ASSIGNMENT, PREL. AMENDMENT, IDS WITH CITED REFERENCES</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p><u>1/25/01</u><br/>(Date)</p> <div style="text-align: right; margin-top: 20px;"><div style="border-bottom: 1px solid black; display: inline-block; width: 200px;">ANNE KILLINGSWORTH</div><div style="text-align: center; font-size: small;">(Typed or Printed Name of Person Mailing Correspondence)</div><div style="border-bottom: 1px solid black; display: inline-block; width: 200px;"></div><div style="text-align: center; font-size: small;">(Signature of Person Mailing Correspondence)</div><div style="border: 1px solid black; padding: 2px; display: inline-block; width: 150px; margin-top: 5px;"><b>EL337672229US</b></div><div style="text-align: center; font-size: x-small; margin-top: 5px;">Express Mail Tracking Label Number</div></div> |             |          |                    |

Note: Each paper must have its own certificate of mailing.